TRIPLE JUNCTION SOLUTION FOR THE ALLEN-CAHN SYSTEM

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Partial Differential Equations Conference June 10-12, 2025, Athens

ENERGY FUNCTIONAL AND HYPOTHESIS

Consider the energy functional

$$J(u,\Omega) := \int_{\Omega} \left(\frac{1}{2} |\nabla u|^2 + W(u) \right) \, dx, \ \forall \Omega \subset \mathbb{R}^2, \ u : \Omega \to \mathbb{R}^2.$$
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(H1). W
$$\in C^2(\mathbb{R}^2; [0, +\infty)), \{z : W(z) = 0\} = \{a_1, a_2, a_3\}, \text{ and}$$

 $c_2 |\xi|^2 \ge \xi^T W_{uu}(a_i)\xi \ge c_1 |\xi|^2, \ i = 1, 2, 3.$

(H2). Existence of heteroclinic connections: $\forall i \neq j, \exists U_{ij} \in W^{1,2}(\mathbb{R}, \mathbb{R}^2)$ be an 1D minimizer of

$$\sigma_{ij} := \min \int_{\mathbb{R}} \left(\frac{1}{2} |U'|^2 + W(U) \right) \, d\eta, \quad \lim_{\eta \to -\infty} U(\eta) = a_i, \ \lim_{\eta \to +\infty} U(\eta) = a_j.$$

 σ_{ij} satisfies

$$\sigma_{ij} < \sigma_{ik} + \sigma_{jk}, \quad \forall \{i, j, k\} = \{1, 2, 3\}.$$
 (3)

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TRIPLE JUNCTION SOLUTION

DIRICHLET PROBLEM ON THE UNIT DISK

$$\min_{u \in \mathcal{A}} \int_{B_1(0)} \left(\frac{\varepsilon}{2} |\nabla u|^2 + \frac{1}{\varepsilon} W(u) \right) \, dx =: \min_{u \in \mathcal{A}} J_{\varepsilon}(u), \tag{4}$$

within the admissible set

$$\mathcal{A} = \{ u \in W^{1,2}(B_1) : u = g_{\varepsilon} \text{ on } \partial B_1 \}.$$

 g_{ε} is a smooth function connecting the three phases in $O(\varepsilon)$ intervals.

$$I_{\varepsilon,\gamma} := \{ x \in B_1 : |u_{\varepsilon}(x) - a_i| > \gamma, \, \forall i \}.$$





<u>Problem</u>: geometric/analytic description of the diffuse interface $I_{\varepsilon,\gamma}$.

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ENTIRE SOLUTION

Blowup: $u_{\varepsilon}(\varepsilon x + x_0)$. Pick the blowup center x_0 as the approximate location of the junction point. As $\varepsilon \to 0$, one expects that it converges to an entire minimizing solution of

$$\Delta u - W_u(u) = 0, \quad u : \mathbb{R}^2 \to \mathbb{R}^2$$

connecting the three phases at infinity.

<u>Problem</u>: asymptotic behavior of *u* at infinity.

$$u(rx) \xrightarrow[r \to \infty]{L^1_{loc}(\mathbb{R}^2)} u_{\mathcal{P}}(x) = \sum_{i=1}^3 a_i \chi_{D_i}.$$

MINIMIZING PARTITION OF \mathbb{R}^2

Let

$$\mathcal{P} = \{D_1, D_2, D_3\},\$$

which is a partition of the plane into three sectors with angles α_1 , α_2 , α_3 , which satisfies Young's law, that is

$$\frac{\sin \alpha_1}{\sigma_{23}} = \frac{\sin \alpha_2}{\sigma_{13}} = \frac{\sin \alpha_3}{\sigma_{12}}.$$
 (5)



Figure. The partition $\mathcal{P} = \{D_1, D_2, D_3\}$

 \mathcal{P} is a minimizing partition of \mathbb{R}^2 that minimizes the following functional:

$$\min \sum_{i < j} \sigma_{ij} \mathcal{H}^1(\partial(D_i \cap \Omega) \cap \partial(D_j \cap \Omega)).$$

When $\underline{\sigma_{ij} \equiv \sigma}$, $\alpha_i = 120^\circ$ for $i = 1, 2, 3$. Triple junction map: $u_{\mathcal{P}} = \sum_{i=1}^3 a_i \chi_{D_i}.$

Related result: Gamma convergence

(Fonseca-Tartar, 89'), (Baldo, 90'), (Sternberg-Zeimer, 94'), (Gazoulis, 22'): Let u_{ε} be a minimizer of the Dirichlet problem,

$$\lim_{\varepsilon\to 0}\|u_{\varepsilon}-u_{\mathcal{P}}\|_{L^1(B_1)}=0,$$

where $u_{\mathcal{P}} = \sum_{i=1}^{3} a_i \chi_{D_i}$.

<u>Limitations</u>:

No rate of convergence for

$$\lim_{\varepsilon\to 0}\int_{B_1}\left(\frac{\varepsilon}{2}|\nabla u_\varepsilon|^2+\frac{1}{\varepsilon}W(u_\varepsilon)\right)\,dx=\sum_{i\neq j}\sigma_{ij}.$$

- Does not yield a nontrivial blow-up limit near the triple junction.
- ▶ No monotonicity formula for the Allen-Cahn system (Contrary to the scalar case).

Related result: convergence of u_{ε}

For 2D domain Ω , for the general setting with several energy wells $\{a_1, ..., a_k\}$, let u_{ε} be a solution (not minimizing) of

$$\Delta u_{\varepsilon} = \frac{1}{\varepsilon^2} W_u(u_{\varepsilon}), \quad u: \Omega \to \mathbb{R}^2.$$

Theorem (Bethuel, 20')

There exists a 1D rectifiable subset Γ and a singular set $\mathcal{S} \subset \Gamma$ such that

- 1. $u_{\varepsilon_n} \to a_i$ uniformly on every connected compact set of $\Omega \setminus \Gamma$.
- 2. $\mathcal{H}^1(\mathcal{S}) = 0$; for every $x_0 \in \Gamma \setminus \mathcal{S}$, Γ is locally a segment.
- 3. $\frac{W(u_{\varepsilon_n})}{\varepsilon_n} \to \zeta$ in the sense of measures on Ω , for some measure ζ concentrating on Γ .
- 4. Define the 1D density $\Theta(x) = \liminf_{r \to 0} \frac{\zeta(B(x,r))}{2r}$. The rectifiable one-varifold $V(\Gamma, \Theta)$ corresponding to the measure ζ is stationary.



RELATED RESULT: SYMMETRIC SOLUTIONS

Junctions.

- ▶ $W \circ g = W$, $u \circ g = g \circ u$, $g \in G$ that is the group of isometries of the equilateral triangle, (Bronsard-Gui-Schatzman, 96').
- ▶ Triple junction in equivalent class of rotation group, (Fusco, 22').
- ▶ n = 3, *W* is a 4-well potential, existence of solution that is invariant with respect to the symmetries of the tetrahedron, (Gui-Schatzman, 08').

These solutions are *not stable* under general perturbations.



ENERGY LOWER & UPPER BOUNDS FOR DIRICHLET PROBLEM

Proposition 1 (Alikakos-G, 24')

There exists a constant C(W) *such that for* $\varepsilon \ll 1$ *,*

$$\sigma_{12} + \sigma_{23} + \sigma_{13} - C\varepsilon^{\frac{1}{2}} \le \int_{B_1} \left(\frac{\varepsilon}{2} |\nabla u_{\varepsilon}|^2 + \frac{1}{\varepsilon} W(u_{\varepsilon}) \right) \, dx \le \sigma_{12} + \sigma_{23} + \sigma_{13} + C\varepsilon. \tag{6}$$

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Upper bound: direct construction of an energy competitor.

 Lower bound: estimate the energy by integrating the energy over 1D slices from different directions.



Figure. red: *a*₁; green: *a*₂; blue: *a*₃

Suppose $\exists y^*$ such that on $\{y = y^*\}$, u_{ε} equals to a_1 on the left half and a_2 on the right half. And in the region $\{y < y_*\}$ there is a vertical line on which u_{ε} equals to a_3 .

Then on each subdomain, the boundary condition implies the lower bound $\sigma_{12} + \sigma_{23} + \sigma_{13}$. The key is to "find" these interior boundaries.

LOCALIZATION OF $I_{arepsilon,\gamma}$

We use the upper/lower bounds to show that the diffuse interface $I_{\varepsilon,\gamma}$ is contained in an $O(\varepsilon^{\frac{1}{4}})$ neighborhood of the sharp interface $\partial \mathcal{P}$.

Proposition 2 (Alikakos-G, 2024)

 \exists *a* constant γ_0 s.t. for any $0 < \gamma \leq \gamma_0$, \exists constants $C = C(\gamma, W)$

 $I_{\varepsilon,\gamma} \subset N_{C\varepsilon^{\frac{1}{4}}}(\partial \mathcal{P}), \quad \forall \varepsilon \ll 1.$

Moreover, \exists *positive K and k s.t.*

$$|u(x) - a_i| \le Ke^{-\frac{k}{\varepsilon}(\operatorname{dist}(x,\partial \mathcal{P}) - C\varepsilon^{\frac{1}{4}})^+}, \quad x \in D_i, \ \forall i.$$



Figure. The deviation of the level set $\{|u - a_1| = \gamma\}$ from the limit interface $\partial \mathcal{P}$ generates large energy in 2D.

WIDTH OF THE TRANSITION LAYER

Set

$$\Gamma^{i}_{\varepsilon,\gamma} := \{ x \in \overline{B}_{1} : |u_{\varepsilon}(x) - a_{i}| = \gamma \}.$$

 $\partial I_{\varepsilon,\gamma} \subset \bigcup_{i=1}^{3} \Gamma^{i}_{\varepsilon,\gamma}.$

Proposition 3 ($O(\varepsilon)$ width of the transition layer)

Fix small γ . $\exists C = C(\gamma, W)$ s.t. for any $i \in \{1, 2, 3\}$ and $\varepsilon \ll 1$, $\Gamma^{i}_{\varepsilon, \gamma} \subset N_{C\varepsilon}(\bigcup_{j \neq i} \Gamma^{j}_{\varepsilon, \gamma}).$

 Tool: vector version of Caffarelli-Córdoba density estimate.



Figure. The width of $I_{\varepsilon,\gamma}$ is $O(\varepsilon)$.

Theorem (Alikakos-G, 24')

There is an entire, bounded minimizing solution such that, along a sequence $r_k \rightarrow \infty$ *,*

$$u(r_k x) \to u_{\mathcal{P}}(x) \quad in \ L^1_{loc}(\mathbb{R}^2), \tag{7}$$

where $u_{\mathcal{P}} = \sum_{i=1}^{3} a_i \chi_{D_i}$. $\mathcal{P} = \{D_1, D_2, D_3\}$ gives a minimal partition of \mathbb{R}^2 .

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 $\blacktriangleright \exists P_{\varepsilon}, Q_{\varepsilon}, R_{\varepsilon} \text{ s.t.}$

 $u(P_{\varepsilon}) \sim a_1, \ u(Q_{\varepsilon}) \sim a_2, \ u(R_{\varepsilon}) \sim a_3,$ $\operatorname{dist}(P_{\varepsilon}, Q_{\varepsilon}), \operatorname{dist}(Q_{\varepsilon}, R_{\varepsilon}) \sim O(\varepsilon).$

- For every r, $\exists P(r)$ s.t. dist $(P(r), P_{\varepsilon}) = r$, $u(P(r)) \sim a_1$.
- ► $\exists \{Q_j\}, \{R_j\} \text{ s.t. } u(Q_j) \sim a_2, u(R_j) \sim a_3, \text{ and } \text{dist}(Q_j, P_{\varepsilon}) \leq Cj\varepsilon, \text{ dist}(R_j, P_{\varepsilon}) \leq Cj\varepsilon.$



Figure. Triplets of points, close to a_1, a_2, a_3 respectively.

Roughly at the same time, Sandier and Sternberg obtained comparable results. Under the assumption that the heteroclinic connection U_{ij} is unique, they proved:

Theorem (Sandier-Sternberg, 24')

For any $r_k \rightarrow \infty$ *(up to a possible subsequence),*

 $u(r_k x) \rightarrow u_{\mathcal{P}} \text{ in } L^1_{loc}(\mathbb{R}^2),$

where $u_{\mathcal{P}}$ is a triple junction map which might depend on $\{r_k\}$.

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Pohozaev identity.

$$\frac{d}{dR}\left(\frac{1}{R}\int_{B_R} W(u)\right) = \frac{1}{2R}\int_{\partial B_R}\left(\frac{1}{2}|u_{\nu}|^2 - \frac{1}{2}|u_s|^2 + W(u)\right)$$

• Asymptotic energy equipartition.

$$\left|\int_{B_R} (\sqrt{W(u)} - \frac{1}{\sqrt{2}} |\nabla u|)^2\right| \le CR^{\alpha}, \ \alpha \in (0, 1).$$

UNIQUENESS OF THE BLOW-DOWN LIMIT

Theorem (G, 24')

There exists a minimizing partition $\mathcal{P} = \{D_i\}_{i=1}^3$ *such that*

 $\lim_{r\to\infty}\|u(rx)-u_{\mathcal{P}}\|_{L^1(B_1)}=0,$

where $u_{\mathcal{P}} = \sum_{i=1}^{3} a_i \chi_{D_i}$ is the unique blow-down limit at infinity.

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Corollary (Sharp energy bounds)

There exists a constant C = C(u) *such that for any* R > 0*,*

$$R(\sigma_{12} + \sigma_{23} + \sigma_{13}) - C \le \int_{B_R} \left(\frac{1}{2}|\nabla u|^2 + W(u)\right) dx \le R(\sigma_{12} + \sigma_{23} + \sigma_{13}) + C.$$

SKETCH OF THE PROOF

- 1. For any *R* sufficiently large, $\exists R_0 \in [R, 2R]$ such that *u* satisfies a well-behaved boundary condition on ∂B_{R_0} , i.e. $u|_{\partial B_{R_0}}$ is close to a triple junction map.
- 2. Rescale B_{R_0} to B_1 . Utilize the energy lower & upper bounds to localize the diffuse interface of u_{R_0} in a $R_0^{-\alpha}$ neighborhood of the optimal triple junction map \bar{u}_{R_0} .



Figure. Optimal triple junction map \bar{u}_{R_0} on B_{R_0}

3. Compare the optimal triple junction map \bar{u}_{R_1} for $R_1 \in [2R, 4R]$ with \bar{u}_{R_0} to obtain

 $\|\bar{u}_{R_0}-\bar{u}_{R_1}\|_{L^1(B_1)} \leq CR_0^{-\alpha}.$

4. Iterate the above estimate to all the scales $R_k \in [2^k R, 2^{k+1} R]$ to get

$$\|\bar{u}_{R_i} - \bar{u}_{R_j}\|_{L^1(B_1)} \le C2^{-\alpha \cdot \min\{i,j\}},$$

which converges to 0 as i, j tend to ∞ .



Figure. Optimal triple junctions at two consecutive scales must be close to each other

Asymptotic flatness of the diffuse interface at infinity

By assuming the uniqueness of the heteroclinic connection U_{ij} , we show that u is almost invariant along the direction of the sharp interface.

Theorem (G, 24')

Suppose the 1D heteroclinic connection U_{ij} *of two phases is unique. Then for any* $i \neq j$ *, there exists a constant h such that*

$$\lim_{x\to+\infty}\|u(x\mathbf{e}_{ij}+y\mathbf{e}_{ij}^{\perp})-U_{ij}(y-h)\|_{C^{2,\alpha}(\mathbb{R})}=0,$$

where \mathbf{e}_{ij} *is the unit vector parallel to* $\partial D_i \cap \partial D_j$ *.*

SKETCH OF THE PROOF

WLOG, let $\mathbf{e}_{ij} = (1, 0)$, $\mathbf{e}_{ij}^{\perp} = (0, 1)$.

- 1. For any $x \gg 1$, $\exists ! h(x)$ s.t. $||u(x,y) U_{ij}(y h(x))||_{L^2}$ is minimized (Schatzman 02').
- 2. Direct calculation yields

$$\begin{aligned} |h'(x)| &= \left| \frac{\int_{\mathbb{R}} \partial_x u \cdot U'_{ij}(y - h(x)) \, dy}{\int_{\mathbb{R}} \left(|U'_{ij}|^2 + U''_{ij}(y - h(x))(u(x, y) - U_{ij}(y - h(x))) \right) \, dy} \right| \\ &\leq C \int_{-\infty}^{\infty} |\partial_x u(x, y)|^2 \, dy \end{aligned}$$

3. Use the tight energy bounds to show

$$\int_{x>0} |\partial_x u(x,y)|^2 \, dx \, dy < \infty$$

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A VECTOR VERSION OF DE GIORGI CONJECTURE

Suppose $u : \mathbb{R}^2 \to \mathbb{R}^2$ is a minimizing solution of $\Delta u = W_u(u)$, where *W* is a double-well potential. Assume the uniqueness of the heteroclinic connection U_{12} .

Theorem (Sandier-Sternberg, 24'; G, 24')

If for each $x \in \mathbb{R}$ *,*

$$\lim_{y\to\infty} u(x,y) = a_1, \quad \lim_{y\to-\infty} u(x,y) = a_2,$$

then *u* is a one-dimensional solution, i.e. there exists a unit vector $\mathbf{e} \neq (1,0)$ and a constant *h* such that

$$u(z) = U_{12}(z \cdot \mathbf{e} - h), \ \forall z \in \mathbb{R}^2.$$

FURTHER DIRECTIONS

- 1. 2D problems:
 - Symmetry of potential implies symmetry of solution?
 - For unequal σ_{ij} , construct N-junction (N > 3) solutions.
- 2. 3D problems:
 - For a triple-well potential, does a minimizing solution necessarily be a cylindrical triple junction?
 - For a quadruple-well potential, the existence of an entire solution with a quadruple junction profile.
- 3. Gradient flow: motion of the junction point.

Thank You!